

SECTION I – NUMBERING SYSTEM

GRANTS & COOPERATIVE AGREEMENTS

GRANT APPLICATION/AWARD IDENTIFICATION NUMBERING SYSTEM¹ (###)

The identification number is commonly referred to as the application number or grant number, depending upon its processing status. *Cooperative Agreements* use the same numbering system. The identification number consists of several parts, each having a distinct meaning. The following example shows the parts of an ID number assigned to an amendment (A1) to a supplemental (Type 3) application for a traditional research project (R01) referred to the National Cancer Institute (CA). The number further identifies the application serially as the 12921st new proposal submitted to the NCI, and indicates that this is the first supplemental application (S1) to the fourth year (-04) of support to this project.

<u>Application</u> <u>Type</u>	<u>Activity</u> <u>Code</u>	<u>Organizational</u> <u>Code</u>	<u>*Serial</u> <u>Number</u>	<u>Suffixes</u>	
3	R01	CA	12921(9)	<u>Grant Year</u> -04	<u>Other</u> ¹ S1A1

Application Type Code - A single-digit code identifying the type of application received and processed. In the example above, the "3" indicates that this is a supplemental request for additional funds. The full list for Application Type code translations and the definition for grant and cooperative agreement funding mechanisms is listed on page 3.

Activity Code (Also referred to as an Instrument Code) - A three-digit code identifying a specific category of extramural activity. All current activity codes, activity code titles and definitions are listed in Table 3.

Organizational Code (Also referred to as an IC Code or Admin PHS Org Code) - A two-letter code identifying the first major-level subdivision. In the example above, "CA" refers to the National Cancer Institute. For certain activities, DHHS organizations having Bureau status may use a Division-level code. An inter-agency agreement awarded by NCI, for instance, may be coded 1Y01CM00999-00, where CM refers to NCI's Division of Cancer Treatment. Organizational codes are translated in Table 1.

Serial Number - A five-digit number generally assigned sequentially to a series within an Institute, Center, or Division. *As grant applications for ICs reach the 99999 five-digit threshold, the ICs will begin using six-digit serial numbers. Currently the National Cancer Institute is the only IC using the six-digit serial number.

Suffixes - A field composed of the following components:

GRANT YEAR - A two-digit number indicates the actual segment or budget period of a project. The grant year number (01, 02, etc.) is preceded by a dash to separate it from the serial number; e.g., AI 12345-02 or CA 00900-04. The grant year number is increased by one for each succeeding renewal year. Thus, the -02 and -04 year suffixes above identify grants in their second and fourth years.

SUPPLEMENT - The letter "S" and related number identify a particular supplemental record; e.g., S1, S2. Supplement designations follow the grant year or the amendment designation, as the case may be; e.g., AI 12345-01S1 and CA 00900-04A1S2.

AMENDMENT (Resubmission = New field name in Grants.gov) - The letter "A" and related number identify each amended application e.g., A1, A2, etc. Amendment designations follow the grant year or the supplement designation, as the case may be; e.g., DE 34567-02A1 and HL 45678-01S1A2.

ALLOWANCE - The letter "X" and related number identify a fellowship's institutional allowance record. Allowance designations also follow the grant year or other designation; e.g., AG 12345-01X1 and HD 12345-02S1X2.

¹ An additional four-digit suffix may appear in a grant identification number recorded in the CRISP system, to identify separate projects.

GRANT AND COOPERATIVE AGREEMENT TYPES (##)

Some of the Type of Application field names have changed.

If changed, both the new (Grants.gov established) and former (NIH established) names are listed below.

<u>Code</u>	<u>New (Former)</u>
1	New (New) - Request for Department of Health and Human Services support of a project that has not yet been funded.
2	Renewal (Competing Continuation) - Request for an additional term of support based on a funded previous project; must compete for available funds.
3	Revision (Supplement) - Request for additional funds for a current award, to cover increased costs (noncompeting) or to expand the scope of work (competing).
4	Extension - Request for additional funds beyond the years previously awarded; limited to certain activities, including MERIT (R37) and certain Fellowship (F) and Career (K) awards. These F and K applications do not compete for funds. R37's do require National Advisory Council review.
5	Noncompeting Continuation - Request to pay next budget increment of a current award; does not compete for available funds.
6	Change of Organization Status (Successor-In-Interest) – Request for support of a funded project at an institution where the legal status of the organization has been changed through an approved Successor-In-Interest action.
7	Change of Grantee or Training Institution - Request for support of a funded project that has been transferred from one grantee or training institution to another.
8	Change of Institute or Division - Noncompeting continuation (cf. Type 5) that has been transferred from one ICD to another.
9	Change of Institute or Division - Competing continuation (cf. Type 2) that has been transferred from one ICD to another.